

# On the number of divisors which are values of a polynomial

Katalin Gyarmati\*

## Abstract

Let  $\tau(n)$  be the number of positive divisors of an integer  $n$ , and for a polynomial  $P(X) \in \mathbb{Z}[X]$ , let

$$\tau_P(n) = |\{P(m) > 0 : m \in \mathbb{Z}, P(m) \mid n\}|.$$

R. de la Bretèche studied the maximum values of  $\tau_P(n)$  in intervals. Here the following is proved: if  $P(X) \in \mathbb{Z}[X]$  is not of the form  $a(X + b)^k$  with  $a, b \in \mathbb{Q}$ , and  $k \in \mathbb{N}$  then

$$\tau_P(n) \ll (\log n)\tau(n)^{3/5}.$$

This improves partially on La Bretèche's results.

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## 1 Introduction

Paul Erdős asked several problems concerning divisors, for example, he conjectured that the density of integers  $n$  which have two divisors  $d_1, d_2$  with

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$d_1 < d_2 < 2d_1$  is 1 (e.g. see [9]). This conjecture was proved by Maier and Tenenbaum [16]. In this paper we study the number of certain special divisors of an integer  $n$ . We denote positive divisors of  $n$  by  $d$ , their number by  $\tau(n)$ , and the number of distinct prime divisors by  $\omega(n)$ .

P. Erdős and R. R. Hall [10] initiated the study of  $\tau_k(n)$ , which is the number of positive divisors of  $n$  of the form

$$x(x+1)\dots(x+k-1)$$

with  $x \in \mathbb{Z}$ . In the case  $k = 2$  an equivalent definition is

$$\tau_2(n) = |\{i : d_{i+1} - d_i = 1\}|,$$

where  $1 = d_1 < d_2 < \dots < d_{\tau(n)} = n$  denote the all positive divisors of  $n$ . P. Erdős and R. R. Hall [10] proved that

$$\tau_k(n) > (\log n)^{e^{1/k} - \varepsilon}$$

holds for infinitely many  $n$ . They also estimated the average value of  $\tau_k(n)$  by proving

$$\frac{1}{x} \sum_{n \leq x} \tau_k(n) = \frac{1}{(k-1)(k-1)!} + O(x^{-(k-1)/k}).$$

The first upper bound for  $\tau_2(n)$  is due to Tenenbaum [17, Theorem 2], who proved that

$$\tau_2(n) \ll \tau(n)^c \tag{1.1}$$

holds with  $c = 0.93974\dots$ . R. de la Bretèche [6, Theorem 2] improved on the exponent  $c$  and obtained (1.1) with  $c = 0.91829\dots$ .  $\tau_2(n)$  was studied by several authors, see in [1], [6], [10], [11] and [17].

Using La Bretèche's method it is easy to prove that

$$\tau_k(n) \leq (k+1)\tau(n)^{h(1/(k+1))} \tag{1.2}$$

where

$$h(\alpha) = \frac{1}{\log 2} ((1-\alpha) \log(1/(1-\alpha)) + \alpha \log(1/\alpha)).$$

Indeed at least one of the integers  $d, d + 1, \dots, d + k - 1, \frac{n}{d(d+1)\dots(d+k-1)}$  is in the set  $\{d : \Omega(d) \leq \Omega(n)/(k + 1)\}$ . By using Lemma 2.1 in [5] we get (1.2).

R. de la Bretèche [5] extended the problem to other polynomials.

**Definition 1** For  $P(X) \in \mathbb{Z}[X]$ , let

$$\tau_P(n) = \{P(m) > 0 : m \in \mathbb{Z}, P(m) \mid n\}.$$

In the special case  $P(X) = X(X + 1) \cdots (X + k - 1)$   $\tau_P(n)$  is  $\tau_k(n)$ . R. de la Bretèche [5] estimated the maximum value of  $\tau_P(m)$ :

**Theorem A** If  $P(x) \in \mathbb{Z}[x]$  is a polynomial of degree 2 with discriminant  $\Delta$ , then

$$\max_{1 \leq m \leq n} \tau_P(m) \leq \left( \max_{1 \leq m \leq n} \tau(m) \right)^{c(\Delta)+o(1)} \quad (1.3)$$

where

$$c(\Delta) = \begin{cases} 0.565 \dots & \text{if } \Delta \neq 0 \text{ is a square of an integer,} \\ 0.5 & \text{if } \Delta = 0, \\ 0.579 \dots & \text{if } \Delta \text{ is not a square of an integer.} \end{cases} \quad (1.4)$$

In [5] it is also mentioned that this theorem can be improved for some special polynomials of higher degree.

Here we will extend La Bretèche's Theorem A to every polynomial and in section 4 we will improve on the constant  $c(\Delta)$  if  $n$  is a squarefree number and  $\Delta$  is not a square of an integer.

By Wigert's theorem [18]

$$D(n) \stackrel{\text{def}}{=} \max_{1 \leq m \leq n} \tau(m) = 2^{(1+o(1)) \frac{\log n}{\log \log n}}.$$

For almost all  $n$ ,  $\tau(n)$  is around  $c \log n$ , which is significantly smaller than  $D(n)$ . Indeed, in the case  $\tau(n) < D(n)^{c(\Delta)}$ , (1.3) gives a trivial upper bound for  $\tau_P(n)$ :

$$\tau_P(n) \leq \max_{1 \leq m \leq n} \tau_P(m) \leq D(n)^{c(\Delta)+o(1)}.$$

This inspired me to look for a bound  $\tau_P(n)$  in terms of  $\tau(n)$ , which can give a sharp estimate for a larger set of integers. I obtained the following

**Theorem 1** *If  $P(X)$  is not of the form  $a(X + b)^k$  with  $a, b \in \mathbb{Q}$ , and  $k \in \mathbb{N}$  then*

$$\tau_P(n) \ll (\log n)\tau(n)^{3/5}. \quad (1.5)$$

Theorem 1 is trivial for  $\tau(n) \ll (\log n)^{5/2}$ , while the upper bound in (1.1) are always non-trivial. For  $k \geq 6$  the exponent  $h(1/(k + 1))$  in (1.2) is less than  $3/5$ , so (1.2) gives sharper bound for  $\tau_k(n)$  than Theorem 1. However in these special cases Theorem 1 does not give the best possible results, but its proof is a different approach from Tenenbaum's and La Bretèche's and for general polynomials  $P(X)$  (for example, for irreducible polynomials over  $\mathbb{Q}$ ) gives a new and sharp bound for  $\tau_P(n)$ .

The proof of Theorem 1 is based on a generalization of a lemma of B. Lindström [15] on  $B_2$  sequences. Possible improvements on Theorem 1 will be discussed in section 4.

Using Evertse's theorem on  $S$ -unit equations [12, Theorem 1] for the linear form  $x - y = 1$  we get:

$$\tau_2(n) \ll 7^{2\omega(n)}. \quad (1.6)$$

If  $n$  contains only few prime factors, so  $\omega(n)$  is small, then (1.6) is a sharper bound than (1.1).

For general polynomials  $P(X)$  I will prove the following:

**Theorem 2** *If  $P(X) \in \mathbb{Z}[X]$  is not of the form  $a(X + b)^k$  with  $a, b \in \mathbb{Q}$ , and  $k \in \mathbb{N}$  then*

$$\tau_P(n) \ll \log n(\deg P)^{\omega(n)}. \quad (1.7)$$

If  $n$  contains only few prime factors then the upper bound (1.7) is sharper than (1.5).

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## 2 Proof of Theorems 1-2

Our first lemma is a generalization of a theorem of B. Lindström [15].

**Lemma 1** *Let  $\mathcal{D}$  be a subset of the divisors of an integer  $n$ . Suppose that there are no  $T$  different pairs  $x_i, y_i \in \mathcal{D}$ , such that*

$$1 \neq \frac{x_1}{y_1} = \frac{x_2}{y_2} = \dots = \frac{x_T}{y_T}.$$

*Then*

$$|\mathcal{D}| \ll T^{2/5} \tau(n)^{3/5}.$$

The exponent  $3/5$  in Theorem 1 strongly depends on the exponent  $3/5$  in Lemma 1. This exponent might be improved in some special cases, results in this directions will be discussed in section 4.

**Lemma 2** *Let  $v \in \mathbb{N}$ ,  $P(X) = a_k X^k + a_{k-1} X^{k-1} + \dots + a_0 \in \mathbb{Z}[X]$  be a polynomial which is not of the form  $a(X+b)^k$  with  $a, b \in \mathbb{Q}$ ,  $k \in \mathbb{N}$ . Then there exists a (computable) constant  $T$  depending only on the polynomial  $P(X)$  such that at most  $T$  pairs  $x_i, y_i \in \mathbb{Z}$  exist with*

$$v < P(x_i), P(y_i) < 2v \tag{2.1}$$

*and*

$$1 \neq \frac{P(x_1)}{P(y_1)} = \frac{P(x_2)}{P(y_2)} = \dots = \frac{P(x_T)}{P(y_T)}. \tag{2.2}$$

The history of Lemma 2 is related to a problem of Diophantus. Diophantus found 4 rational numbers such that the product of any two of them increased by 1 is a square of a rational number. The first absolute upper bound for the size of Diophantine tuples was given by A. Dujella, see in [7], [8]. Later Y. Bugeaud and A. Dujella [2] extended the problem for higher power. In [3], [13] and [14] we studied different generalizations of the problem of Diophantus. Lemma 2 is closely related to the proof of these results.

We postpone the proof of Lemma 1 and Lemma 2 to section 3. For  $0 \leq i \leq \frac{\log n}{\log 2}$  let

$$\mathcal{D}_i = \{P(m) > 0 : 2^i < P(m) < 2^{i+1}, P(m) \mid n\}.$$

By Lemma 2 these sets  $\mathcal{D}_i$ 's satisfy the conditions of Lemma 1. By using Lemma 1 we get

$$|\mathcal{D}_i| \ll \tau(n)^{3/5}.$$

We have  $\left\lceil \frac{\log n}{\log 2} \right\rceil + 1$  different sets  $\mathcal{D}_i$ , thus we get Theorem 1.

In order to prove Theorem 2 we will need the following lemma.

**Lemma 3** *Let  $P(X) \in \mathbb{Z}[X]$  be a polynomial of degree  $k$ , which is not of the form  $a(X + b)^k$ . Then there exists a (computable) constant  $T$  depending on the polynomial  $P(X)$  such that there are at most  $T$  integers  $x_i$  ( $1 \leq i \leq T$ ) such that for  $1 \leq i, j \leq T$*

$$\frac{1}{2} < \frac{P(x_i)}{P(x_j)} < 2 \tag{2.3}$$

and  $\frac{P(x_i)}{P(x_j)}$  is the  $k$ -th power of a rational number.

We will prove Lemma 3 in section 3.

Denote the prime divisors of  $n$  by  $p_1, p_2, \dots, p_r$ , so  $r = \omega(n)$ . To every  $d \in \mathcal{D}_i$ ,  $d = p_1^{\alpha_1} \cdots p_r^{\alpha_r}$  we assign a  $\{0, 1, \dots, k-1\}$ -vector  $(\delta_1, \dots, \delta_r)$  such that

$$\delta_j \equiv \alpha_j \pmod{k}$$

and  $0 \leq \delta_j \leq k-1$ . By Lemma 3 to at most  $T$  different  $d \in \mathcal{D}_i$ 's we assign the same  $\{0, 1, \dots, k-1\}$ -vector. Thus

$$|\mathcal{D}_i| \leq Tk^{\omega(n)}.$$

Again, we have  $\left\lceil \frac{\log n}{\log 2} \right\rceil + 1$  different sets  $\mathcal{D}_i$ , thus we get Theorem 2.

### 3 Proof of Lemmas 1-3

#### Proof of Lemma 1

First we will prove the following:

**Lemma 4** *Let  $n \in \mathbb{N}$ . Then for  $1 \leq x < \tau(n)$ , there exist positive integers  $n_1$  and  $n_2$  such that*

$$\tau(n_1) \leq x, \quad \tau(n_2) \leq \frac{4\tau(n)}{x}, \quad (3.1)$$

*and every  $d \mid n$  can be written of the form  $d = d_1 d_2$  with  $d_1 \mid n_1$  and  $d_2 \mid n_2$ .*

Throughout the proof of Lemma 1 we will use this factorization of  $d$ 's.

#### Proof of Lemma 4

Consider the prime factorization of  $n$ :

$$n = p_1^{\alpha_1} \dots p_r^{\alpha_r}.$$

Let  $H - 1 (\leq r)$  denote the greatest positive integer with

$$(\alpha_1 + 1)(\alpha_2 + 1) \dots (\alpha_{H-1} + 1) \leq x.$$

Let  $0 \leq \beta_H < \alpha_H$  denote the greatest integer with

$$(\alpha_1 + 1)(\alpha_2 + 1) \dots (\alpha_{H-1} + 1)(\beta_H + 1) \leq x. \quad (3.2)$$

By the definition of  $\beta_H$  we get

$$\begin{aligned} \frac{x}{2} &< \frac{1}{2}(\alpha_1 + 1)(\alpha_2 + 1) \dots (\alpha_{H-1} + 1)(\beta_H + 2) \\ &\leq (\alpha_1 + 1)(\alpha_2 + 1) \dots (\alpha_{H-1} + 1)(\beta_H + 1), \end{aligned}$$

thus

$$\begin{aligned} \frac{4\tau(n)}{x} &\geq \frac{2\tau(n)}{(\alpha_1 + 1)(\alpha_2 + 1) \dots (\alpha_{H-1} + 1)(\beta_H + 1)} \\ &\geq \left( \left\lceil \frac{\alpha_H + 1}{\beta_H + 1} \right\rceil + 1 \right) (\alpha_{H+1} + 1)(\alpha_{H+2} + 1) \dots (\alpha_r + 1). \end{aligned} \quad (3.3)$$

Let

$$q_i = \begin{cases} p_i & \text{if } 1 \leq i \leq H, \\ p_H^{\beta_H} & \text{if } i = H + 1, \\ p_{i-1} & \text{if } H + 2 \leq i \leq r, \end{cases}$$

and

$$\gamma_i = \begin{cases} \alpha_i & \text{if } 1 \leq i \leq H, \\ \beta_H & \text{if } i = H, \\ \left\lfloor \frac{\alpha_{H+1}}{\beta_{H+1}} \right\rfloor & \text{if } i = H + 1, \\ \alpha_{i-1} & \text{if } H + 2 \leq i \leq r + 1. \end{cases} \quad (3.4)$$

Clearly, every  $d \mid n$  can be written in the form  $d = q_1^{\delta_1} \dots q_{r+1}^{\delta_{r+1}}$  with  $0 \leq \beta_i \leq \gamma_i$ . This proves Lemma 4 with

$$n_1 = q_1^{\gamma_1} \dots q_H^{\gamma_H}$$

and

$$n_2 = q_{H+1}^{\gamma_{H+1}} \dots q_{r+1}^{\gamma_{r+1}}.$$

Indeed, by (3.2) and (3.3) we get (3.1). We remark that then

$$\tau(n_1) = (\gamma_1 + 1)(\gamma_2 + 1) \dots (\gamma_H + 1) \leq x \quad (3.5)$$

and

$$\tau(n_2) = (\gamma_{H+1} + 1)(\gamma_{H+2} + 1) \dots (\gamma_{r+1} + 1) \leq \frac{4\tau(n)}{x} \quad (3.6)$$

Throughout the proof of Lemma 1 we will use this factorization  $d = q_1^{\delta_1} \dots q_{r+1}^{\delta_{r+1}}$  with  $0 \leq \beta_i \leq \gamma_i$  in place of the prime factorization. Our factorization (as the prime factorization) is unique.

Next we return to the proof of Lemma 1. We will fix the value of  $1 \leq x < \tau(n)$  later.

To every  $d \in \mathcal{D}$ ,  $d = q_1^{\delta_1} \dots q_{r+1}^{\delta_{r+1}}$  we assign an  $r + 1$ -dimensional vector  $b_d = (\delta_1, \delta_2, \dots, \delta_{r+1})$ . Let  $\mathcal{B} = \{b_1, b_2, \dots, b_m\}$  be the set of all the  $r + 1$ -dimensional vectors obtained in this way. Then

$$m = |\mathcal{D}| = |\mathcal{B}|.$$



By the conditions of Lemma 1, for every  $a \neq 0$ ,  $a \in \mathbb{Z}^{r+1}$  the equation

$$a = b_i - b_j, \quad b_i, b_j \in \mathcal{B}$$

has at most  $T$  different solutions in  $i$  and  $j$ .

We split each  $b_i$  in two vectors  $v_i$  and  $w_i$  of dimensions  $H$  and  $r + 1 - H$ . If  $b_i = (\delta_1, \delta_2, \dots, \delta_{r+1})$ , then let  $v_i = (\delta_1, \delta_2, \dots, \delta_H)$  and  $w_i = (\delta_{H+1}, \delta_{H+2}, \dots, \delta_{r+1})$ .

By considering the differences  $v_i - v_{i'}$  we get that for the  $j$ -th component of  $v_i - v_{i'}$  we have

$$-\gamma_j \leq \delta_j - \delta_j' \leq \gamma_j.$$

Now let  $z_1, z_2, z_3, \dots, z_p$  be an enumeration of all  $H$ -dimensional vectors  $\{\delta_1, \delta_2, \dots, \delta_H\}$  with components

$$-\gamma_j \leq \delta_j \leq \gamma_j,$$

where  $\gamma_j$ 's were defined by (3.4). Then

$$p = (2\gamma_1 + 1)(2\gamma_2 + 1) \cdots (2\gamma_H + 1).$$

Let  $w^1, w^2, \dots, w^s$  be an enumeration of all  $r + 1 - H$ -dimensional vectors  $\{\delta_{H+1}, \delta_{H+2}, \dots, \delta_{r+1}\}$  with components

$$0 \leq \delta_i \leq \gamma_i.$$

Then by (3.6) we have

$$s = (\gamma_{H+1} + 1)(\gamma_{H+2} + 1) \cdots (\gamma_{r+1} + 1) \leq \frac{4\tau(n)}{x}. \quad (3.7)$$

For each  $i$  ( $1 \leq i \leq s$ ), let  $E_i$  denote the set of all  $H$ -dimensional  $v_j$  such that  $(v_j, w^i) \in \mathcal{B}$ .  $E_i$  can be empty. Let the cardinality of  $E_i$  be  $e_i$ . Then we have

$$m = \sum_{i=1}^s e_i. \quad (3.8)$$

For each  $i$  in  $1 \leq i \leq s$  we consider the differences  $v_j - v_k$  where  $(v_j, w^i)$  and  $(v_k, w^i)$  are vectors in the set  $\mathcal{B}$ . The zero vector of dimension  $H$  occurs  $m$  times as a difference, but any other vector  $z_\ell$  occurs at most  $T$  times. We will assume that  $z_1 = 0$ . If  $x_i$  is the number of times that  $z_i$  occurs as a difference  $v_j - v_k$  then

$$x_1 = m, \quad x_i \leq T \text{ for } i = 2, 3, \dots, p. \quad (3.9)$$

By (3.7), (3.8) and the Cauchy-Shwarz inequality we find that

$$\sum_{i=1}^p x_i = \sum_{i=1}^s e_i^2 \geq \frac{m^2}{s} \geq \frac{m^2 x}{4\tau(n)}. \quad (3.10)$$

Without loss of generality, we may assume that  $\gamma_1 \leq \dots \leq \gamma_H$ . Let  $H^* \leq H$  be the greatest integer with

$$1 = \gamma_1 = \gamma_2 = \dots = \gamma_{H^*}.$$

If all  $\gamma_i \geq 2$ , then let  $H^* = 0$ .

If we take all differences in a finite sequence of 0's and 1's, then at least half of all differences are 0: if we have  $a$  pieces of 0 and  $b$  pieces of 1, then the number of differences 0 is  $a^2 + b^2$  and the number of differences  $\pm 1$  is  $2ab$ . Clearly  $a^2 + b^2 \geq 2ab$ .

Thus if we define  $h_{i,j}$  ( $1 \leq i \leq p, 1 \leq j \leq H^*$ ) by 1 when the  $j$ -th component of  $z_i$  is 0 and  $h_{i,j} = -1$  in the other case (when the  $j$ -th component is -1 or +1), then we get

$$\sum_{i=1}^p h_{i,j} x_i \geq 0 \text{ for } j = 1, \dots, H^*. \quad (3.11)$$

Put

$$y_i = \max\{0, 1 + \sum_{j=1}^{H^*} h_{i,j}\}, \text{ for } i = 1, \dots, p. \quad (3.12)$$

It follows then from (3.11),(3.12) and since  $x_i \geq 0$  that

$$0 \leq \sum_{j=1}^{H^*} \sum_{i=1}^p h_{i,j} x_i \leq \sum_{i=1}^p (y_i - 1) x_i.$$

By this, (3.9) and (3.12) we get

$$\sum_{i=1}^p x_i \leq \sum_{i=1}^p y_i x_i \leq m y_1 + T \sum_{i=2}^p y_i. \quad (3.13)$$

If in the first  $H^*$  components of  $z_i$ ,  $k_i$  components equal to 0, we get

$$\sum_{j=1}^{H^*} h_{i,j} = 2k_i - H^*, \quad i = 1, \dots, s.$$

By this, (3.12) and since for fixed  $(\delta_1, \dots, \delta_{H^*})$  the number of  $i$ 's for which  $z_i$  first  $H^*$  components are  $(\delta_1, \dots, \delta_{H^*})$  is at most  $(2\gamma_{H^*+1} + 1) \dots (2\gamma_H + 1)$ , we get

$$T \sum_{i=2}^p y_i \leq T \sum_{k \geq (H^*-1)/2} \binom{H^*}{k} 2^{H^*-k} (1 + 2k - H^*) (2\gamma_{H^*+1} + 1) \dots (2\gamma_H + 1). \quad (3.14)$$

The function  $f : \mathbb{N} \rightarrow \mathbb{R}$ ,  $f(k) = \binom{H^*}{k} 1.7^{H^*/2-k} (1 + 2k - H^*)$  is decreasing in the interval  $[H^*/2 + 1, H^*]$ . Indeed

$$f(k) > f(k + 1)$$

is equivalent with

$$(2k - H^* - 2)(2.7k - H^* + 6.7) + 0.1k + 15.1 > 0,$$

which holds for  $k \in [H^*/2 + 1, H^*]$ . Thus for  $k \in [H^*/2 - 1, H^*]$  we have

$$f(k) \ll f([H^*/2]).$$

By this and the Stirling's formula we get

$$\binom{H^*}{k} 1.7^{H^*/2-k} (1 + 2k - H^*) \ll \binom{H^*}{[H^*/2]} \ll \frac{2^{H^*}}{\sqrt{H^*}}.$$

So

$$\begin{aligned}
& \sum_{k \geq (H^*-1)/2} \binom{H^*}{k} 2^{H^*-k} (1+2k-H^*) \\
&= \sum_{k \geq (H^*-1)/2} \binom{H^*}{k} 1.7^{H^*/2-k} (1+2k-H^*) 2^{H^*/2} \left(\frac{2}{1.7}\right)^{H^*/2-k} \\
&\ll 2^{H^*/2} \sum_{k \geq (H^*-1)/2} \frac{2^{H^*}}{\sqrt{H^*}} \left(\frac{2}{1.7}\right)^{H^*/2-k} = \frac{2^{3H^*/2}}{\sqrt{H^*}} \sum_{k \geq (H^*-1)/2} \left(\frac{2}{1.7}\right)^{H^*/2-k} \\
&\ll \frac{2^{3H^*/2}}{\sqrt{H^*}}.
\end{aligned}$$

By this and (3.14) we get

$$T \sum_{i=2}^p y_i \ll \frac{T 2^{3H^*/2}}{\sqrt{H^*}} (2\gamma_{H^*+1} + 1) \dots (2\gamma_H + 1). \quad (3.15)$$

For  $1 \leq i \leq H^*$  we have  $2 = \gamma_i + 1$  and for  $i > H^*$   $\gamma_i \geq 2$ , thus  $2\gamma_i + 1 \leq (\gamma_i + 1)^{3/2}$ , and by (3.15)

$$\begin{aligned}
T \sum_{i=2}^p y_i &\ll \frac{T}{\sqrt{H^*}} ((\gamma_1 + 1)(\gamma_2 + 1) \dots (\gamma_{H^*} + 1))^{3/2} (2\gamma_{H^*+1} + 1) \dots (2\gamma_H + 1) \\
&\ll \frac{T}{\sqrt{H^*}} ((\gamma_1 + 1) \dots (\gamma_H + 1))^{3/2}.
\end{aligned}$$

Thus by (3.5) we get

$$T \sum_{i=2}^p y_i \ll \frac{T}{\sqrt{H^*}} x^{3/2}.$$

By this, (3.10), (3.13) and  $y_1 \leq H^* + 1$  we get

$$\begin{aligned}
\frac{m^2 x}{\tau(n)} &\ll \sum_{i=1}^p x_i \ll mH^* + \frac{T}{\sqrt{H^*}} x^{3/2}, \\
m^2 &\ll \frac{mH^* \tau(n)}{x} + \frac{T}{\sqrt{H^*}} x^{1/2} \tau(n), \\
m &\ll \frac{H^* \tau(n)}{x} + \frac{T^{1/2}}{(H^*)^{1/4}} x^{1/4} \tau(n)^{1/2}. \quad (3.16)
\end{aligned}$$

Now we fix the value of  $x$  in (3.2). Let

$$x = \frac{H^* \tau(n)^{2/5}}{T^{2/5}}. \quad (3.17)$$

Clearly  $x \leq \tau(n)$ . By (3.16) and (3.17) we get

$$m \ll T^{2/5} \tau(n)^{3/5}$$

which was to be proved.

### Proof of Lemma 2

Write

$$x_i^* = x_i + \frac{a_{k-1}}{ka_k}, \quad y_i^* = y_i + \frac{a_{k-1}}{ka_k}$$

and let  $Q(X) = P(X - \frac{a_{k-1}}{ka_k})$ . Then

$$P(x_i) = Q(x_i^*), \quad P(y_i) = Q(y_i^*).$$

The coefficient of  $x^{k-1}$  in  $Q(x)$  is 0, let

$$Q(x) = b_k x^k + b_{k-2} x^{k-2} + \dots + b_0.$$

Clearly  $b_k = a_k$ . If  $|x_i|$  and  $|y_i|$  are large enough (depending on the polynomial  $P(X)$ ),  $|x_i|, |y_i| > c_1$ , then from (2.1)

$$\frac{1}{2}u < |x_i^*|, |y_i^*| < 2u \tag{3.18}$$

follows with  $u = |v^{1/k}|$ . By (2.1) the number of the pairs  $x_i, y_i \in \mathbb{Z}$  with  $\min\{|x|, |y|\} < c_1$  is finite and depends only on the polynomial of  $P(X)$ . Thus throughout the proof we may suppose that (3.18) holds for all  $x_i^*$ 's and  $y_i^*$ 's.

Denote by  $M$  the greatest coefficients of  $Q(x)$  in absolute value:

$$M = \max_{0 \leq i \leq k} |b_i|.$$

We define a modulus  $m$  depending only on the polynomial  $P(X)$ . Indeed, let

$$m > 2^{4k-1} (k+1)^3 M^2. \tag{3.19}$$

Suppose that  $T$  is large enough:

$$T > 4(k+1)m^2,$$

where  $k$  is the degree of the polynomial  $P(X)$ . By the pigeon-hole principle, there exist  $k+1$   $x_i$ 's and  $y_i$ 's which are congruent modulo  $m$  and all products  $x_i y_j$  have the same sign. We may suppose that these  $x_i$ 's and  $y_i$ 's are

$$x_1 \equiv x_2 \equiv \cdots \equiv x_{k+1} \pmod{m}, \quad y_1 \equiv y_2 \equiv \cdots \equiv y_{k+1} \pmod{m}. \quad (3.20)$$

First we will prove that for all  $1 \leq i \leq k+1$  we have

$$\frac{x_1^*}{y_1^*} = \frac{x_i^*}{y_i^*}. \quad (3.21)$$

By

$$\begin{aligned} \frac{Q(x_1^*)}{Q(y_1^*)} &= \frac{Q(x_i^*)}{Q(y_i^*)}, \\ Q(x_1^*)Q(y_i^*) &= Q(x_i^*)Q(y_1^*), \end{aligned}$$

we get

$$b_k^2 \left( (x_1^* y_i^*)^k - (x_i^* y_1^*)^k \right) = - \sum_{\substack{0 \leq j, \ell \leq k, \\ \min\{j, \ell\} \leq k-2}} b_j b_\ell (x_1^{*j} y_i^{*\ell} - x_i^{*j} y_1^{*\ell}).$$

By estimating the right-hand side by the triangle-inequality and using  $|b_i| \leq \max_{1 \leq i \leq k} |b_i| = M$  and (3.18) we get

$$b_k^2 \left| (x_1^* y_i^*)^k - (x_i^* y_1^*)^k \right| \leq 2(k+1)^2 M^2 (2u)^{2k-2}. \quad (3.22)$$

Next we gave a lower bound for the left hand side of (3.22).  $x_1^* y_i^*$  and  $x_i^* y_1^*$  have the same sign and thus

$$\begin{aligned} b_k^2 \left| (x_1^* y_i^*)^k - (x_i^* y_1^*)^k \right| &= b_k^2 |x_1^* y_i^* - x_i^* y_1^*| \left( |x_1^* y_i^*|^{k-1} + \cdots + |x_i^* y_1^*|^{k-1} \right) \\ &\geq b_k^2 |x_1^* y_i^* - x_i^* y_1^*| k \left( \frac{u}{2} \right)^{2k-2}. \end{aligned}$$

By this, (3.22) and  $b_k = a_k$  we get

$$|x_1^* y_i^* - x_i^* y_1^*| \leq \frac{2^{4k-1} (k+1)^2 M^2}{k a_k^2}. \quad (3.23)$$

The right hand side of (3.23) is a constant which depends only on the polynomial  $P(X)$ . Thus  $x_1^*y_i^*$  and  $x_i^*y_1^*$  are very close. Suppose that they are not equal. Then we will give a lower bound for the left-hand side of (3.23).

$$|x_1^*y_i^* - x_i^*y_1^*| = \frac{1}{(ka_k)^2} |(ka_kx_1 + a_{k-1})(ka_ky_i + a_{k-1}) - (ka_kx_i + a_{k-1})(ka_ky_1 + a_{k-1})|.$$

By (3.20) we get

$$m | (ka_kx_1 + a_{k-1})(ka_ky_i + a_{k-1}) - (ka_kx_i + a_{k-1})(ka_ky_1 + a_{k-1}) |.$$

If  $x_1^*y_i^*$  and  $x_i^*y_1^*$  are not equal, then we obtain

$$|x_1^*y_i^* - x_i^*y_1^*| \geq \frac{m}{(ka_k)^2}.$$

By this and (3.23) we get

$$m \leq 2^{4k-1}(k+1)^3M^2$$

which contradicts (3.19), thus we proved (3.21).

By (3.21) we have that there exist  $c, d \in \mathbb{Q}$  with

$$c = \frac{Q(x_i^*)}{Q(y_i^*)} \text{ and } d = \frac{x_i^*}{y_i^*} \quad (3.24)$$

for all  $1 \leq i \leq (k+1)$ . Then

$$0 = Q(dy) - cQ(y)$$

has at least  $k+1$  solutions, since for all  $1 \leq i \leq k+1$ ,  $y_i^*$  is a solution by (3.24). But the polynomial  $Q(dy) - cQ(y)$  is not identically 0 (since  $P(X)$  is not the form  $a(X+b)^k$ ) and its degree is  $\leq k$ , which is a contradiction. Thus we have proved Lemma 2.

### Proof of Lemma 3

Define  $x_i^*$ 's and polynomial  $Q(X)$  as in Lemma 2. The coefficient of  $x^{k-1}$  in  $Q(x)$  is 0, and let again

$$Q(x) = b_k x^k + b_{k-2} x^{k-2} + \cdots + b_0 \quad (3.25)$$

and

$$M = \max_{0 \leq i \leq k} |b_i|.$$

If  $|x_i|$  and  $|x_j|$  are large enough depending on the polynomial  $P(X)$ ,  $|x_i|, |x_j| > c_1$ , then from (2.3)

$$\frac{1}{2} < \frac{|x_i^*|}{|x_j^*|} < 2. \quad (3.26)$$

The number of the integers  $x_i$  with  $|x_i| < c_1$  is finite and depends only on the polynomial of  $P(X)$ . Thus throughout the proof we may suppose that (3.26) holds for all  $x_i^*$  and  $x_j^*$ .

Let  $m$  be a large prime (depending only on the polynomial  $P(X)$ ) such that

$$(k, m-1) = 1 \quad (3.27)$$

and

$$m > k2^{3k} M^2. \quad (3.28)$$

Suppose that  $T$  is large enough:

$$T > 2(k+1)m,$$

where  $k$  is the degree of the polynomial  $P(X)$ . By the pigeon-hole principle, there exist  $k+1$   $x_i$ 's which are congruent modulo  $m$  and all  $x_i$ 's have the same sign. Let us denote them by  $x_1, x_2, \dots, x_{k+1}$ :

$$x_1 \equiv x_2 \equiv \cdots \equiv x_{k+1} \pmod{m}. \quad (3.29)$$

First we will prove that for  $1 \leq i \leq k+1$  we have

$$\frac{P(x_1)}{P(x_i)} = \frac{Q(x_1^*)}{Q(x_i^*)} = \left( \frac{x_1^*}{x_i^*} \right)^k. \quad (3.30)$$



By (2.3)  $\frac{P(x_1)}{P(x_i)} = \frac{Q(x_1^*)}{Q(x_i^*)}$  is the  $k$ -th power of a positive rational number, so

$$\frac{Q(x_1^*)}{Q(x_i^*)} = \left(\frac{p}{q}\right)^k \quad (3.31)$$

with  $0 < p, q \in \mathbb{N}$ . Then

$$\begin{aligned} p^k &\leq Q(x_1^*) \leq (k+1)M |x_1^*|^k, \\ p &\leq 2M^{1/k} |x_1^*|. \end{aligned} \quad (3.32)$$

$m$  is a prime with (3.27), so by (3.29) and (3.31) we have

$$p \equiv q \pmod{m} \quad (3.33)$$

By (3.25) and (3.31)

$$b_k \left( (x_1^* q)^k - (x_i^* p)^k \right) = - \sum_{0 \leq j \leq k-2} b_j \left( (x_1^*)^j q^k - (x_i^*)^j p^k \right). \quad (3.34)$$

By (3.26)  $\frac{1}{2} |x_1^*| < |x_i^*| < 2 |x_1^*|$  and by (2.3) and (3.31)  $\frac{1}{2} p^k < q^k < 2p^k$ . So by estimating the right-hand side of (3.34) by the triangle-inequality we get

$$\begin{aligned} |b_k \left( (x_1^* q)^k - (x_i^* p)^k \right)| &\leq (k-1)M \max_{0 \leq j \leq k-2} \left| \left( (x_1^*)^j q^k - (x_i^*)^j p^k \right) \right| \\ &\leq (k-1)M(2^k + 2) |x_1^*|^{k-2} p^k. \end{aligned} \quad (3.35)$$

Next we gave a lower bound for the left hand side of (3.35). By (3.26)  $x_1^* q$  and  $x_i^* p$  have the same signs and thus

$$\begin{aligned} |b_k \left( (x_1^* q)^k - (x_i^* p)^k \right)| &= |b_k| |x_1^* q - x_i^* p| \left( |x_1^* q|^{k-1} + \cdots + |x_i^* p|^{k-1} \right) \\ &\geq |b_k| |x_1^* q - x_i^* p| k \left( \frac{|x_1^*| p}{4} \right)^{k-1}. \end{aligned}$$

By this, (3.32) and (3.35) we get

$$\begin{aligned} |x_1^* q - x_i^* p| &\leq \frac{(k-1)(2^k + 2)4^{k-1}M}{k |b_k|} \frac{p}{|x_1^*|} \leq \frac{(k-1)(2^k + 2)4^{k-1}M}{k |b_k|} 2M^{1/k} \\ &< \frac{2^{3k} M^2}{|b_k|}. \end{aligned} \quad (3.36)$$

The right hand side of (3.36) is a constant which depends only on the polynomial  $P(X)$ . Thus  $x_1^*q$  and  $x_i^*p$  are very close. Suppose that they are not equal. Then we will give a lower bound for the left-hand side of (3.36).

$$|x_1^*q - x_i^*p| = \frac{1}{ka_k} |(ka_kx_1 + a_{k-1})q - (ka_kx_i + a_{k-1})p|.$$

By (3.29) and (3.33) we get

$$m \mid (ka_kx_1 + a_{k-1})q - (ka_kx_i + a_{k-1})p.$$

If  $x_1^*q$  and  $x_i^*p$  are not equal, then we obtain

$$|x_1^*q - x_i^*p| \geq \frac{m}{k|a_k|} = \frac{m}{k|b_k|}.$$

By this and (3.36) we get

$$m \leq k2^{3k}M^2$$

which contradicts (3.28), thus we proved (3.30).

By (3.30) we have that the polynomial

$$Q(x_1^*)y^k - (x_1^*)^kQ(y)$$

has  $k+1$  different roots:  $y = x_1, x_2, \dots, x_{k+1}$ . But the polynomial  $Q(x_1^*)y^k - (x_1^*)^kQ(y)$  is not identically zero (since  $P(X)$  is not the form  $a(X+b)^k$ ) and its degree is  $\leq k$ , which is a contradiction. Thus we have proved Lemma 3.

## 4 On possible improvements on Theorem 1

One of the main tools in the proof of Theorem 1 was Lemma 1 which is a generalization of the following theorem of Lindström [15, Theorem 2].

**Lemma 5** *Let  $F_2(d)$  denote the maximum number of vectors of dimension  $d$ , whose components are taken from the integers  $\{0, 1\}$  such that every two vectors have different sum. Then*

$$F_2(d) \ll d2^{3/5d}.$$

A famous conjecture asked whether

$$L \stackrel{\text{def}}{=} \lim_{d \rightarrow \infty} F_2(d)^{1/d}$$

equals to  $1/2$ ? The constant  $L$  is related to our problem. Probably, for all  $\varepsilon > 0$

$$\tau_P(n) \ll (\log n) \tau(n)^{L+\varepsilon} \tag{4.1}$$

could be proved. The best known upper bound for the constant  $L$  was proved by G. Cohen, S. Litsyn, G. Zémor [4] in 2000. Using coding theory they proved

**Lemma 6**  $L \leq 0.57526$ , *i.e.*,

$$\lim_{d \rightarrow \infty} (F_2(d))^{1/d} \leq 0.57526.$$

Unfortunately, I was not able to generalize Lemma 5 to vectors with components taken from a larger set than  $\{0, 1\}$ . Thus the starting point of the proof of Lemma 1 was Lindström's [15] proof for  $L \leq 3/5$ . Studying only squarefree numbers I can prove (4.1).

**Theorem 3** *Let  $\varepsilon > 0$ . If  $n$  is a squarefree number and  $P(X) \in \mathbb{Z}[X]$  is a polynomial, which is not of the form  $a(X+b)^k$  with  $a, b \in \mathbb{Q}$ , and  $k \in \mathbb{N}$  then*

$$\tau_P(n) \ll (\log n) \tau(n)^{L+\varepsilon},$$

*where the implied factor depends on  $\varepsilon$  and the polynomial  $P(X)$ .*

From this by using Lemma 6 for squarefree numbers  $n$  we get

$$\tau_P(n) \ll (\log n) \tau(n)^{0.5753}. \tag{4.2}$$

This result improves on the constant  $c(\Delta)$  in La Bretèche's Theorem C if  $n$  is a squarefree number and  $\Delta$  is not a square of an integer.

I think that (4.2) might be extended to every integer  $n$  by generalizing Lemma 5, however I was not able to prove it. Most probably the truth is

$$\tau_P(n) \ll \tau(n)^{o(1)},$$

but it seems hopeless to prove it.

The proof of Theorem 3 uses similar technics than Theorem 1, but the exponent (if we use the best known upper bound for  $L$ ) is only slightly sharper, thus here we only sketch the proof.

### Sketch of the proof of Theorem 3

Let  $c_1 > 1$  be a constant small enough, depending only on the polynomial  $P(X)$ . Write

$$\mathcal{D}_i = \{P(x) > 0 : x \in \mathbb{Z}, c_1^i \leq P(x) < c_1^{i+1}, P(x) \mid n\}.$$

We will prove that if  $\{d_1, d_2\} \neq \{d_3, d_4\}$ , then

$$d_1 d_2 = d_3 d_4 \quad \text{with } d_1, d_2, d_3, d_4 \in \mathcal{D}_i \tag{4.3}$$

is not possible. Then by the definition of  $L$  we get

$$|\mathcal{D}_i| \ll 2^{(L+\varepsilon)\omega(n)},$$

from which the theorem follows. Let us see the proof of (4.3).

Define  $Q(X) = P(X - \frac{a_{k-1}}{ka_k})$ . Then the coefficient of  $x^{k-1}$  in  $Q(X)$  is 0. Clearly,

$$\mathcal{D}_i = \{Q(x) > 0 : x + \frac{a_{k-1}}{ka_k} \in \mathbb{Z}, c_1^i \leq Q(x) < c_1^{i+1}, Q(x) \mid n\}$$

Suppose that contrary to (4.3), there exists  $Q(x), Q(y), Q(z), Q(v) \in \mathcal{D}_i$  such that

$$Q(x)Q(y) = Q(z)Q(v).$$

Then there exists integers  $a, b, c, d$  such that

$$\begin{aligned}ac &= Q(x), & ad &= Q(v), \\bc &= Q(z), & bd &= Q(y).\end{aligned}$$

Then

**Lemma 7** *There exists a constant  $c_2 > 1$  depending only on the polynomial  $P(X)$  such that*

$$c_2 ac < bd.$$

**Proof of Lemma 7**

This is Lemma 1 in [13] if  $xy - zv \neq 0$  and Lemma 5 in [13] if  $xy - zv = 0$ .

By Lemma 7

$$c_2 Q(x) < Q(y). \tag{4.4}$$

Now we fix the value  $c_1 > 1$  in the definition of  $\mathcal{D}_i$ : let  $c_1 = c_2$ . By  $Q(x), Q(y) \in \mathcal{D}_i$  and (4.4) we have

$$c_1^{i+1} \leq c_1 Q(x) < Q(y) < c_1^{i+1}$$

which is a contradiction.

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